

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): J. SAKANO et al
Serial No.: (New Continuation application under 37 CFR §1.53[b] of
Application Serial. No. 10/372,402)
Filed: March 12, 2004
For: COMPLEX SEMICONDUCTOR DEVICE AND ELECTRIC
POWER CONVERSION APPARATUS USING IT
Group: 2826
Examiner: J. MONDT

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 & §1.98

MS: Patent Applications
Commissioner For Patents
POB 1450
Alexandria, VA 22313-1450

March 12, 2004

Sir:

In the matter of the above-identified application, applicants are attaching hereto, in a form equivalent to Form PTO-1449, a listing of all the art documents of record (cited by the Examiner/submitted by applicants) in the chain of continuing applications (as listed on page 1 of the Specification), pursuant to the duty of disclosure/candor requirements.

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified continuation application and, therefore, this IDS meets the requirements pursuant to 37 CFR §1.97(b).

As to the requirement of 37 CFR §1.98(a)(3) for any concise explanation of relevance to the extent that any of the listed documents is not in the English language, this is met by: the discussion thereof in the prosecution history, the discussion thereof in the Background section of the Specification, the

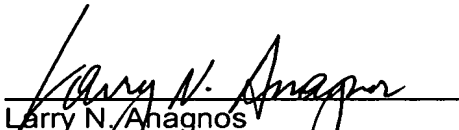
submission of a European Search Report in the prior, original application, and the citation by the Examiner in the prior, original application.

It is understood that since copies of the listed documents should be available in the file wrapper of the prior, original application, copies thereof are not included herewith, consistent with 37 CFR §1.98(d) and pursuant to the guidelines set forth in MPEP §609.

It is submitted this IDS is in compliance with the rules of practice as well as with USPTO guidelines. Therefore, applicants respectfully request that it be entered and duly considered by the USPTO. Also, acknowledgment of entry as well as of formal consideration thereof by the Examiner is respectfully requested.

If any costs are due in accordance with the filing of this Information Disclosure Statement, please charge same to the account of Antonelli, Terry, Stout & Kraus, LLP, Account No. 01-2135 (503.38071CC2).

Respectfully submitted,
ANTONELLI, TERRY, STOUT & KRAUS, LLP


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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known	
		Application Number	R53(b) CONT. of S.N. 10/372,402
		Filing Date	March 12, 2004
		First Named Inventor	SAKANO, JUNICHI
		Art Unit	2826
Examiner Name	J. MONDT		
Attorney Docket Number	503.38071CC2		
Sheet	1	of	2

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
		US- 5,378,903		01-1995	Otsuki et al	
		US- 5,500,548		03-1996	Marum et al	
		US- 5,587,595		12-1996	Neubrand	
		US- 5,719,411		02-1998	Ajit	
		US- 5,286,981		02-1994	Lilja et al	
		US- 5,614,738		03-1997	Iwamura	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ —Number ⁴ —Kind Code ⁵ (if known)				
		JP 8-330570	12-1996			
		JP 07-335859A	12-1995	Kobayashi et al		
		JP 05-259441A	03-1992	Yukimasa		
		EP 0731508	09-1996			
		JP 5-190840	07-30-1993	Semiconductor Research		
		JP 6-334172	12-02-1994	Fuji Electric Co., Ltd.		
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	R53(b) CONT. of S.N. 10/372,402
				Filing Date	March 12, 2004
				First Named Inventor	SAKANO, JUNICHI
				Group Art Unit	2826
				Examiner Name	J. MONDT
				Attorney Docket Number	503.38071CC2
Sheet	2	of	2		

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Examiner Signature		Date Considered	
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